### **PATENT COOPERATION TREATY**

# **PCT**

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PCT Application CN2003/001082

# Translation INTERNATIONAL PRELIMINARY EXAMINATION REPO

Applicant's or agent's file reference

Facsimile No. 86-10-62019451

Form PCT/IPEA/409(cover sheet)(July 1998)

(PCT Article 36 and Rule 70)

| Applicant's or agent's file reference            |  | FOR FURTHER ACTION See Notification of Transmittal of International Preliminary |                              |  |  |  |
|--|--|---|------------------------------|--|--|--|
| P2003  | 3296E  |   | Examination Repo             | ort (Form PCT/IPEA/416)  |  |  |
| International application No. PCT/CN03/01082     |  | International filing d  | ate (day/month/year)         | Priority date (day/month/year)   |  |  |
|  |  | 18 Dec.2  | 2003(18.12.03)               | 20 Dec.2002(20.12.02)  |  |  |
| International Patent C                           | lassification (IPC) or   | national classification   | and IPC                      |  |  |  |
|  | IPC <sup>7</sup>   | H01P7/08 H011   | P1/203                       |  |  |  |
| Applicant TSING                                  | HUA UNIVERSITY 6   | etc.  |                              |  |  |  |
| is transmitted to t                              | he applicant accordin  | g to Article 36.  |                              | onal Preliminary Examining Authority an  |  |  |
|  | onsists of a total of  | 3   | sheets, including this       |  |  |  |
| amended and are<br>Section 607 of th             | the basis for this rep   |   | ining rectifications made b  | aims and /or drawings which have been efore this Authority (see Rule 70.16 and . |  |  |
|  | ntains indications rela  | ting to the following it  | ems:                         |  |  |  |
| II 🔲 priority                                    | •  |   |                              |  |  |  |
| III Non-es                                       | tablishment of opinion   | n with regard to novelt   | y ,inventive step and indust | rial applicability   |  |  |
| IV ☐ Lack of                                     | · · · · · · · · · · · · · · · · · · ·  |   |                              |  |  |  |
|  | Reasoned statement under Rules 66.2(a)(ii)with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement |   |                              |  |  |  |
| VI☐ Certain                                      | Certain documents cited  |   |                              |  |  |  |
| VII ☐ Certain                                    | Certain defects in the international application   |   |                              |  |  |  |
| V⊞ Certain                                       | Certain observations on the international opplication.   |   |                              |  |  |  |
| Date of submission of the demand                 |  | Date of completion of thi   | s report                     |  |  |  |
| 1  | 12 Jul.2004 (12.07.04  | )   | 27 1                         | May.2005 (27.05.05)  |  |  |
| Name and mailing address of the IPEA/CN          |  |   | Authorized officer           |  |  |  |
| 6 Xitucheng Rd., Jimen Bridge, Haidian District, |  |   |                              | Feng Xuemin  |  |  |

Telephone No.86-10-62084680

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/CN03/01082

| I.            | Basis of t                       | he report   |  |
|---------------|----------------------------------|---|--|
| 1. Wit        | the inte                         | to the elements of the international application:  crnational application as originally filed cription:   |  |
|               | pages                            |   | as originally filed  |
|               | pages                            |   | ,filed with the demand                                     |
|               | pages                            | ,filed with the letter of   |  |
|               | the clci                         | ms:   |  |
|               | pages                            |   | as originally file   |
|               | pages                            | , as amended (together with any   | statement)under Article 19                                 |
|               | pages                            |   | ,filed with the demand                                     |
| ļ             | pages                            | ,filed with the letter of   |  |
|               | the des                          | cription:   |  |
|               | pages                            |   | as originally filed  |
|               | pages                            | ,filed with the letter of   | ,filed with the demand                                     |
|               |                                  | uence listing part of the description:  |  |
|               | pages                            | •   | on originally filed  |
|               | pages                            |   | as originally filed,                                       |
|               | pages                            | ,filed with the letter of   | - mad with the domain                                      |
| 2. v          | vith regard                      | to the language ,all the elements marked above were available or furnished to this  | Authority in the language in                               |
| ,             | vnich the                        | international application was filed, unless otherwise indicated under this item.  |  |
| Π.            |                                  | ats were available or furnished to this Authority in the following language   | which is:  |
|               | the lang                         | guage of a translation furnished for the purposes of international search search (under Ruguage of publication of the international application(under Rule 48.3(b)).            | lle 23.1(b)).  |
|               | the ban<br>and/or                | guage of the translation furnished for the purposes of international preliminary examina [5.3].   |  |
| 3. With preli | n regard<br>iminary ex           | to any <b>nucleotide and/or amino acid sequence</b> disclosed in the international app<br>amination was carried out on the basis of the sequence listing:                       | lication,the international                                 |
|               |                                  | in the international application in written form.   |  |
|               | filed toge                       | ther with the international application in computer readable form.  |  |
| ä             |                                  | subsequently to this Authority in written form. subsequently to this Authority in computer readable form.   |  |
|               | The state                        | ment that the subsequently furnished written sequence listing does not go beyond the disc<br>tion as filed has been furnished.  | losure in the international                                |
|               | The state                        | ement that the information recorded in computer readable form is identical to the writted.  | en sequence listing has been                               |
| 4. 🔲          | The amer                         | adments have resulted in the cancellation of:   |  |
|               |                                  | the description,pages   |  |
|               | H                                | the claims Noa. the drawings,sheets/fig   |  |
| 5. 🔲 T        | his report                       | has been established as if (some of )the amendments had not been made, since they   | have been considered to go                                 |
| беу           | ond the d                        | sclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).**   |  |
| in i          | icement sl<br>his report<br>17). | eets which have been furnished to the receiving Office in response to an invitation under as "originally filed" and are not annexed to this report since they do not contain an | er Article 14 are referred to<br>nendments(Rules 70.16 and |
|               |                                  | t sheet containing such amendments must be referred to under item l and annexed to this   | report.  |

#### INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/CN03/01082

| v. | Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; |        |               |     |  |  |  |
|----|--|--------|---------------|-----|--|--|--|
|    | citations and explanations supporting such statement   |        |               |     |  |  |  |
| 1. | Statement:   |        |               |     |  |  |  |
|    | Novelty (N)  | Claims | 2,3,6-9       | YES |  |  |  |
|    |  | Claims | 1,4,5,10-12   | NO  |  |  |  |
|    | Inventive step (IS)  | Claims | 3,7-9         | YES |  |  |  |
|    |  | Claims | 1,2,4-6,10-12 | NO  |  |  |  |
|    | Industrial applicability (IA)  | Claims | 1-12          | YES |  |  |  |
|    |  | Claims |               | NO  |  |  |  |
|    |  |        |               | •   |  |  |  |

2. Citations and explanations (Rule 70.7)

D1: JP11-214757A D2: US5055809A

- 1. D1 disclosed an SUPERCONDUCTING PLANAR CIRCUIT AND MANUFACTURE THEREOF, comprising superconducting microstrip resonators, the resonators were formed by folding superconductive microstrip to shape a U-type structure (see Column 6, line 8-35, Fig 1), therefor, claim 1 is not novel, does not satisfy the requirement of Article 33(2) PCT.
- 2. The additional features of claim 2 is well known in the art, so claim 2 does not involve an inventive step, does not satisfy the requirement of Article 33(3) PCT.
- 3. All the features claimed in claim 4 have disclosed in D1 as well(see Column 6,line 8-35,Fig 1), therefor, claim 4 is not novel, does not satisfy the requirement of Article 33(2) PCT.
- 4. The additional features of claim 5 and 10-12 have been disclosed in D1(see Column 6,line 8-35,Fig 1), therefor, claim 5 and 10-12 are not novel, do not satisfy the requirement of Article 33(2) PCT.
- 5. The additional feature of claim 6 has disclosed in D2(see Column 8,line 12-58,Fig 11), it is apparent to obtain the subject-matter of claim 6 by arranging the resonators of D1 in the same way as D2, therefor, claim 6 does not involve an inventive step, does not satisfy the requirement of Article 33(3) PCT.